



**AAA GLOBAL**<sup>TM</sup>  
Incorporation & Trust

**DUE DILIGENCE AND  
TRUST QUESTIONNAIRE FORM**

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**AAA GLOBAL INCORPORATION AND TRUST INC.**

- A Hamilton Reserve Bank Affiliate -

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*WORLDWIDE INCORPORATION AND TRUST SERVICES SINCE 1994*

**PART A - DUE DILIGENCE**

Information contained in this form is aimed at assisting us in fulfilling our due diligence obligations. This information will be kept in the strictest confidence and will not be disclosed except as required by law.

**1. Name of Intended Trust:**

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**2. Purposes for which the Trust is to be established (tick any applicable):**

Estate planning generally	
Tax planning/mitigation	
Preservation of family assets	
Asset Protection	
Charitable Purpose	
Family succession planning	
Others (*)	
(*) In case of others, please provide details:	

**3. Type of Trust (tick any applicable):**

Discretionary Trust	
Non-discretionary Trust	
Revocable Trust	
Irrevocable Trust	
Charitable Trust	

**4. Settlor's personal information:**

Full Name/Company Name:		
Children:	YES	NO

**Is the Settlor acting on behalf of another person?** YES NO

Please provide details if Yes:
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**Does the Settlor have United States- person status (US Place of Birth, Resident Alien etc.) for FATCA purposes?** YES NO

Please provide details if Yes:
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**Please provide details on the Source of funds of wealth for the Settlor:**

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**5. Protector:**

**Do you wish to appoint a Protector?** YES NO

**If YES, who do you wish to appoint as Protector:**

Full Name/Company Name:	
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Does the Protector have United States- person status (US Place of Birth, Resident Alien etc.) for FATCA purposes? YES NO

Please provide details if yes:

Will the Protector be acting in a professional capacity (if this is the case, should a charging provision be included in the Trust Deed?) YES NO

6. Principal Beneficiary/Beneficiaries (\*):

Full Name:	
Relationship to Settlor:	

Full Name:	
Relationship to Settlor:	

Full Name:	
Relationship to Settlor:	

Full Name:	
Relationship to Settlor:	

Does any of the beneficiaries have United States- person status (US Place of Birth, Prior Residency etc.) for FATCA purposes? YES NO

Please provide details if yes:

7. Trust Property.

Please provide full details of all assets to be settled on the Trust and the source of wealth:

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8. Would you like to consider appointing a financial adviser to the trust? YES NO

Please provide details if yes:

9. Will any bank account be opened for the trust? If so, where? YES NO

Please provide details if yes:

Will any brokerage account be opened for the trust? If so, where? YES NO

Please provide details if Yes:

Would you like us to appoint an Accountant for the trust to keep accounting records?

YES NO

Please provide details if No:

**PART B – TRUST QUESTIONNAIRE (COMPLETE IF AAA GLOBAL WILL DRAFT THE TRUST)**

10. Do you require advice on drafting the trust in relation to additional or special clauses?

YES NO

11. Would you like a private trustee or for AAA GLOBAL to be appointed as Trustee?

Other Trustee:

AAA Global

Name and Address of Other Trustee (if applicable)

12. Please indicate any special terms or conditions to be included in the trust.

13. Is the trust for an unlimited duration?

YES NO

Please provide duration if no:

14. Will the trust cover future generations such as the beneficiary's children?

YES NO

**PART C- SUPPORTING DOCUMENTS**

This filled out form must be accompanied with the following KYC documentation:

**Settlor:**

- AAA GLOBAL KYC Form with supporting documents
- Proof of Source of Funds

**Protector:**

- AAA GLOBAL KYC Form with supporting documents

**Beneficiary:**

- AAA GLOBAL KYC Form
- Certified copy of passport
- An original or certified copy of a recent utility bill or other address confirmation showing your name and residential address.

Note: In the case of Corporate Entities, we will require a copy of all the corporate documentation, including updated Registers of Directors and Shareholders, and the above-mentioned KYC documentation for the persons who exercise control over the company, which includes, directors, shareholders, etc.

**PART D- DECLARATION:**

I, \_\_\_\_\_ (name) \_\_\_\_\_ (position), of \_\_\_\_\_  
\_\_\_\_\_ (firm name) **DO HEREBY CERTIFY AND DECLARE THAT:**

1. All the information contained in this form is true and correct.
2. I undertake to inform you in case of any change in the information contained herein.
3. That I am not insolvent at the date hereof, and understand that this means:
  - a) I am able to pay my debts as they fall due.
  - b) My assets exceed my liabilities (including contingent liabilities)
  - c) That upon transferring any assets to the Trustee of the Trust, the value of my remaining assets will exceed my liabilities.
4. I have no intention of using the Trust to evade (nor has the Trust been established with a view to evading) any tax obligation of whatever nature and I hereby undertake that all income and capital gains and all such other details of whatever nature as are required by any foreign legislation shall be declared by me as required.
5. I have sought professional tax advice in my jurisdiction of domicile/residence and in each jurisdiction in which any assets are located with regard to the establishment of the Trust and I understand that AAA Global Incorporation and Trust takes no responsibility for any tax consequences of the Trust, nor for any disclosures required to be made to governmental authorities.
6. None of my net worth and the assets or income to be transferred, either initially or subsequently, to the trustee of the Trust relates to, or is in any manner derived from armaments, unlawful drugs or other unlawful controlled substances, money laundering of whatsoever nature, terrorist acts, or any other activity which I know to be unlawful in my country of residence or birth or any other part of the world.
7. I have never been under investigation by any law enforcement agency or tax authority in any country.
8. I have never been arrested for or convicted or found guilty of any offence(s) against the law in any country.
9. I do not intend to hinder, delay or defraud any creditors, or to engage in any unlawful conduct in relation to creditors and do not intend to engage other entities in order to facilitate or otherwise engage in such activity.
10. I undertake to immediately notify the Trustee of the Trust in case of any of the connected persons involved in the Trust having changed their place of fiscal residence so that the Trustee can make appropriate arrangements in respect of all obligations to the relevant authorities as may be required.

<b>Settlor's Name:</b>	
<b>Signature:</b>	
<b>Date:</b>	